

EXCHANGE	SEGMENT	MEMBER / DP ID	SEBI REGN. NO
NSE	CM/FO/CD/CO	12788	INZ000184638
BSE	CM/FO/CD/CO	6395	
MSE	CM/FO/CD/CO	21000	
NCDEX	CO	00732	
MCX	CO	29905	
CDSL DP	DP	12070600	IN-DP-261-2016
CCRL - RP	RP	12070600	

Regd. & Corp Office:

A-17, Upper Ground Floor, Pushpanjali Enclave, Pitampura, Delhi-110034, Delhi, INDIA

Phone : +91 - 11 - 47107777

Fax : +91 - 11 - 47107731

E-mail Id : info@msbetrade.com & msbetrade@rediffmail.com

Website : www.msbetrade.com

Compliance Officer's Details

Name : SURINDER KUMAR Phone: +91 - 011 - 47107730

E-mail ID : surin@msbetrade.com

CEO's Details

Name : MUNISH BAJAJ Phone: +91 -0 11 - 47107777 (Ext. 29)

E-mail ID : md@msbetrade.com

Clearing Member Details:-

Name	Globe Capital Market Ltd.
SEBI Regn. No.	INZ000177137
Address	609, Ansal Bhawan, 16 K.G. Marg, New Delhi-110001

For any grievance/dispute please contact MSB E TRADE SECURITIES LIMITED at the above address or email grievances@msbetrade.com or msbe_complaint@yahoo.co.in and Phone No. +91-11-47107777. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	ignse@nse.co.in	022-26589190, 18002660058
BSE Ltd.	is@bseindia.com	022-22728097
Metropolitan Stock Exchange of India Ltd.	invesorcomplaints@msei.in	022-67319000, 8933
Multi Commodity Exchange of India Ltd.	grievance@mcxindia.com	022-67318888
National Commodity & Derivative Exchange Ltd.	askus@ncdex.com	022-66406084

Request Letter for activation of New Segments / Exchange in Trading Account

Date: _____

To:

M/s MSB e-Trade Securities Limited
A-17, Upper Ground Floor, Pushpanjali Enclave,
Pitampura, Delhi-110034

Dear Sir,

SUB: Request for reactivation of New Segments / Exchange in the Trading Account.

Ref: Client / Trading Account No: _____

I/We, having the above referred Trading Account maintained with you based on duly filled and signed Client Registration Form along with the relevant KYC supporting documents provided by me/us at the time of Opening the Trading Account.

1. I request you to activate my/our Trading Account for the following Trading Segments: (Please sign for the segments you want to activate)

TRADING PREFERENCES: Please sign in the in relevant boxes where you wish to trade. The segment not chosen should be struck off. If, in future, the client wants to trade on any new segment/new exchange, separate authorization letter should be submitted.

Exchanges	NSE, BSE & MSEI			MCX, NCDEX, BSE & NSE
	Cash/ Mutual Fund	F & O	Currency	Commodity Derivative
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
If you do not wish to trade in any of segment / Mutual Fund, Please mention here:				

If, in future, the client wants to trade on any new segment/new exchange, separate authorization should be taken from the client by the stock broker.

Other details as per Annexure – 3 (Trading / Demat Account Related Details)

DECLARATION

- (1) I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We are aware that I/We may be held liable for it.
- (2) I/We confirm having read/been explained and understood the contents of the documents on policy and procedures of the stock broker and the tariff sheet.
- (3) I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Documents'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.
- (4) I/We declare that MSB e-Trade Securities Ltd. the broker, have put me/us on notice that it is engaged in not only client based trading but also in pro-account trading.
- (5) I/We confirm to give my/our consent to download records from CKYC.

Client Signature

Client Name _____

To,
MSB E-TRADE SECURITIES LIMITED
 A-17, Upper Ground Floor, Pushpanjali Enclave, Pitampura, Delhi-110034

Dear Sir,

I, _____ a client with Member M/s. MSB E-TRADE SECURITIES LIMITED Of Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or English and any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail Id.

The above declaration and guidelines on ECN given in the Annexure have been read and understand by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

*** (The email id must be written own handwriting of the client)**

Client Name : _____
 Unique Client Code : _____
 PAN : _____
 Address : _____

Signature of Client (with rubber stamp)											
Date:			-			-					
Place:											

Verification of the client signature done by, Name of the designated officer of the Member:	
Signature: _____	Date: __ / __ /

**TARIFF SHEET
(BROKERAGE & STATUTORY CHARGES
CASH SEGMENT**

Brokerage Slab	Slab %	Minimum Paise	Min. Per Transaction
Delivery Based			
Daily Square up (Intra Day)			
Illiquid Shares			

CASH SEGMENT

Brokerage Slab	Slab %	Minimum Paise	Min. Per Transaction
Delivery Based			
Daily Square up (Intra Day)			
Z Group Transaction			

DERIVATIVES

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

DERIVATIVES (CURRENCY)

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

COMMODITY DERIVATIVE (FUTURE & OPTION)

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

- All Charges (namely ; Exchange Transaction, Clearing Member Charges, SEBI Fee etc.) and Statutory Taxes (namely; Service Tax, Securities Transaction Tax, Stamp Duty etc.) shall be charges as per rates applicable from time to time in addition to brokerage.
- In case of online trading terminals provided to the client, the software charges (bases on monthly rent or based on % of turnover) shall be charges extra.
- Charges mentioned above are in respect of services mentioned above only and charges for any service provided to client other than those listed above shall be charges extra.
- Late payment penalty @2% p.m. calculated on daily overdue balance shall be charges till actual realisation.
- Charges quoted above are for the services listed. Any service not quoted above will be charges separately.
- In case of physical contract note are being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular date would be charges towards minimum processing fee.

Client Name _____

Client Signature

DISCLOSURE REGARDIGN PROPRIETARY TRADING

To, _____ Date: _____

Client Code: _____ Client Name: _____

SUBJECT : DISCLOSURE REGARDING PROPRIETARY TRADING

As required under Circular No. SEBI / MRD / SE / Cir-42 / 2003 dated 19.11.2003 issued by the Securities and Exchange Board of India; we hereby disclose that in addition to client-based business, we are also doing proprietary trading.

I/We acknowledge the above information.

For MSB e-Trade Securities Ltd.

Client Signature

Authorised Signatory

ACKNOWLEDGEMENT TO MSB e-Trade Securities Limited FROM CLIENT

To,
MSB e-Trade Securities Limited

Date: _____

A-17, Upper Ground Floor, Pushpanjali Enclave, Pitampura, Delhi-110034

I/We intends to open to Trading account with M/s MSB e-Trade Securities Ltd. who is Member of NSE, BSE, MSEI, MCX, NCDEX, ICEX and CDSL, undertakes as follows:

- (1) I/We have been duly aware by Member that client has a preference to receive the below referred documents either in electronic form or in physical form:-
 - A. *Rights and Obligation of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.*
 - B. *Right and Obligation of Stock Brokers, Sub-Brokers/Authorised Person and Clients as prescribed by SEBI and Stock Exchange.*
 - C. *Risk and Disclosure documents for capital market and derivative segments.*
 - D. *Guidance note-Do's and Don't for trading on the Exchange(s) for Investors.*
 - E. *Risk and Disclosure documents for Commodity Market.*
 - F. *Rights and Obligation of Members, Authorised Person and Clients as prescribed by SEBI and Commodity Exchange for Commodity Market.*
 - G. *Internet and Wireless technology based trading facility provided by Stock Brokers to Client.*
 - H. *Guidance note-Do's and Don't for the clients for Trading in commodity segment*
 - I. *Most Important Terms and Conditions (MITC)*
 - J. *Policies & Procedures of the Members*
- (2) I/We am/are further aware by my/our Member that for receiving the above said documents in Electronic or Physical Form, I/We have to accord my/our consent.
- (3) Therefore, in reference to the above, I/we hereby voluntarily accord my/our consent to receive the aforesaid documents in:- Electronic Form Physical Form
- (4) If I/We opted for the same in Electronic mode, then Member can sent said aforesaid documents at my registered email id.
- (5) I/We have been further aware by my/our Member that the aforesaid documents has also been available at the Member's website i.e. at www.msbetrade.com
- (6) I/We am/are aware that the non receipt of bounced mail notification by the Member shall amount to delivery of the aforesaid documents at my registered email id.
- (7) I/We hereby accord my/our consent to an arbitration agreement by virtue of which I/We shall refer all my/our claims, difference or disputes between us which might have arise out of my/our trading, deposits, margin money, etc. in relation to my/our dealings in contracts and transactions which have been made subject to the Bye-Laws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions and contracts have entered into, to the arbitration in accordance with the provisions of these Byelaws, Rules and Regulations of the Exchange.



Client Signature

Client Name

RECEIPT OF PHYSICAL KIT

To,
MSB e-Trade Securities Limited

Date: _____

A-17, Upper Ground Floor, Pushpanjali Enclave, Pitampura, Delhi-110034

I/We hereby confirm that I/We have received a copy of following documents:

- A. *Rights and Obligation of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.*
- B. *Right and Obligation of Stock Brokers, Sub-Brokers/Authorised Person and Clients as prescribed by SEBI and Stock Exchange.*
- C. *Risk and Disclosure documents for capital market and derivative segments.*
- D. *Guidance note-Do's and Don't for trading on the Exchange(s) for Investors.*
- E. *Risk and Disclosure documents for Commodity Market.*
- F. *Rights and Obligation of Members, Authorised Person and Clients as prescribed by SEBI and Commodity Exchange for Commodity Market.*
- G. *Internet and Wireless technology based trading facility provided by Stock Brokers to Client.*
- H. *Guidance note-Do's and Don't for the clients for Trading in commodity segment*
- I. *Most Important Terms and Conditions (MITC)*
- J. *Policies & Procedures of the Members*



Client Signature

Client Name

Annexure A
Most Important Terms and Conditions (MITC)
(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 _____
Client Signature

Client Name _____

Date:

To
MSB e-Trade Securities Limited
A-17, Upper Ground Floor, Pushpanjali Enclave,
Pitampura, Delhi-110034

Subject: CKYC Download/retrieve Consent

Sir,

I/We _____ submitting my/our application / form to opening of Trading account / Demat Account / KRA / CKYC (New or Modification) through physical form or eKYC.

I/We hereby give my/our an explicit consent to download / retrieve the KYC records or information or any other additional identifications documents or details from CKYCR. (Central KYC Records Registry).

Kindly accept my/our consent and do the needful.

Thanks & Regards

 _____
Client Signature

Client Name _____

MSB e-Trade